

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	T. Ishibashi, et al.	ATTORNEY DOCKET NO.	9793822-0158
SERIAL NO.	not yet assigned	GROUP ART UNIT:	not yet assigned
FILING DATE:	December 5, 2001	EXAMINER:	not yet assigned
INVENTION:	ORGANIC ELECTROLUMINESCENT ELEMENT AND LUMINESCENT DEVICE		

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
 - Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
 - The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- A check in the amount of \$_____ to cover any required fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as U.S. Express Mail, Label No. EL834723455US to the United States Patent and Trademark Office, P.O. Box 2327 - PCT, Arlington, VA 22202 December 5, 2001.

Ellen Hogan
 Ellen Hogan

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Hon. Assistant Commissioner for Patents

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
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II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
	0960927	EP	December 1, 1999
	11329731	JP	November 30, 1999
	2000-12224	JP	January 14, 2000
	0967834	EP	December 29, 1999
	2000-12226	JP	January 14, 2000
	0388768	EP	September 26, 1990
	2247278	JP	October 3, 1990

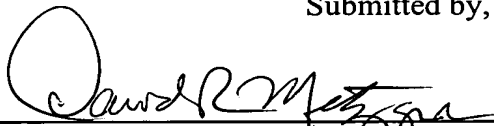
III OTHER DOCUMENTS

<u>Reference</u>	<u>Title/Author</u>	<u>Date of Publication</u>
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IV. EXPLANATION OF RELEVANCE

The references were cited in the Japanese Patent Office Search Report of July 17, 2001, in counterpart PCT/JP01/03051. A copy of the Search Report is submitted herewith.

Submitted by,



(Reg. 32,919)

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Attorneys for Applicant(s)

DATE: December 5, 2001

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 10/009021
 SHEET 1 of 1

Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98 (b))	ATTY. DOCKET NO. 9793822-0158	SERIAL NO. Not yet assigned
	APPLICANT T. Ishibashi, et al.	
	FILING DATE December 5, 2001	GROUP Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	0 9 6 0 9 2 7	01.12.99	EP				
1	1 3 2 9 7 3 1	30.11.99	JP			*	
2000	1 2 2 2 4	14.01.00	JP			*	
	0 9 6 7 8 3 4	29.12.99	EP				
2000	1 2 2 2 6	14.01.00	JP			*	
	0 3 8 8 7 6 8	26.09.90	EP				
	2 2 4 7 2 7 8	03.10.90	JP			*	

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)